

General Information Terms and Conditions, for External Providers (AS9100 8.4.3)

Whalley Precision Inc. (WPI) shall communicate to external providers its requirements using code numbers and specifications, drawings, process requirements, work instructions: The Service Provider is responsible for compliance of general information (e.g., specifications, drawings, process requirements, and job instructions), assigned Quality codes and Purchase Order(s). Product identification must be per any or all of the following: the design drawing, verbal/email purchase order. The supplier must maintain lot traceability throughout manufacturing, inspection and test.

- a. the processes, products, and services to be provided **including the identification of relevant technical data (e.g., specifications, drawings, process requirements, work instructions).**
- b. the approval of:
 1. products and services.
 2. methods, processes, and equipment.
 3. the release of products and services.
- c. competence, including any required qualification of persons.
- d. the external providers' interactions with its service providers. **See QC1 Below.**
- e. control and monitoring of the external providers' performance to be applied by WPI.
- f. verification or validation activities that WPI, or its customer, intends to perform at the external providers' premises.

See QC3 Below.

- g. **design and development control; (as flowed down)**
- h. **special requirements, critical items, or key characteristics.**
- i. **test, inspection, and verification (including production process verification).**
- j. **the use of statistical techniques for product acceptance and related instructions for acceptance by WPI, the service provider shall use standard sampling plans unless otherwise specified on Purchase Order.**
- k. **the need to:**
 - **implement a quality management system.**
 - **use customer-designated or approved external providers, including process sources (e.g., special processes). See QC1 Below.**
 - **notify WPI of nonconforming processes, products, or services and obtain approval for their disposition.**
 - **prevent the use of counterfeit parts, Foreign Object Damage Prevention (FOD). Product must be free from any contamination. (at all times).**
 - **notify WPI of changes to processes, products, or services, including changes of their external providers or location of manufacture and obtain WPI's approval. See QC2 Below.**
 - **flow down to external providers applicable requirements including customer requirements; The identification and revision status of specifications, drawings, process requirements, inspection / verification instructions and other relevant technical data must be flowed down to the sub-tiers who will certify to the specification and revision level.**
 - **provide test specimens (if required) for design approval, inspection/verification, investigation, or auditing.**
 - **retain documented information, including retention periods and disposition requirements; (Quality Documentation records and certifications must be maintained on file for a period of TEN years after final payment of this purchase order. After this time period, Supplier shall not destroy such records without the written approval of WPI. Prime Contractor retention period requirements will supersede this note as applicable.)**
- l. **the right of access by WPI, their customer, and regulatory authorities to the applicable areas of facilities and to applicable documented information, at any level of the supply chain. SEE QC3 and general notes Below.**
- m. **ensuring that persons are aware of: (and document training)**
 - **their contribution to product or service conformity.**
 - **their contribution to product safety.**
 - **the importance of ethical behavior.**

Risk

Risk is assessed when using and determining our suppliers and documented on our Approved Supplier List and is rated, along with Management Review for OTD and quality along with the 7 principles of a Quality Management System.

The following listing, which is invoked on the Whalley Precision, Inc. purchase order:

QC1 Supplier Sub-tier Control: Applicable to all PO's.

The supplier as the recipient of the purchase order and or contract is responsible for meeting all specified technical and quality requirements, whether or not the work is performed by the supplier or by the supplier's sub-tier sources. When the supplier uses a sub-tier source to perform services or work on products, the supplier shall include "Flow Down" on purchase orders to their sub-tier providers, of all the applicable technical and quality requirements from Whalley Precision, Inc purchase order, including when applicable the requirements to document and control "key characteristics" and to furnish objective evidence (test reports, certifications, charts, etc.....) when required by the specific clause called out on the purchase order.

QC2 Unauthorized change or substitutions: Applicable to all PO's.

The supplier will not make any changes and or substitutions to any products or services which are required by the purchase order, drawing, specification, standard, and or other applicable documents without prior written authorization by Whalley Precision, Inc. Suppliers and supplier's sub-tier can be subject to an on-site review of proposed change at their facility.

QC3 Source Inspection:

The supplier shall notify Whalley Precision's purchasing agent to arrange for source inspection following supplier's inspection, and 24 hours prior to the date of availability for inspection by Whalley Precision. The supplier shall furnish at no cost, necessary facilities and equipment to Whalley Precision, Inc source inspector.

QC3.1 First piece inspection required

QC3.2 Parts are subject to Inspection at the supplier's plant prior to shipment

QC4 Inspection Reports:

Dimensional inspection reports are required for all manufactured items. As a minimum, these reports must contain the nature and number of observations made, the results of the observations, and by whom the inspection was performed. NOTE: Any sampling plan must be approved by Whalley Precision Quality Assurance Department PRIOR TO USE.

QC4.1 First Article Inspection:

Supplier will submit First Article documentation which shall include a dimensional inspection report (actual dimensions), X-rays films. Test data, etc., with first shipment of parts. First Article must be identified (tagged).

QC4.2 Final Inspection Report:

Supplier will submit with each shipment a completed Final Inspection Report

QC5 Raw Material:

If there is more than one heat treat lot of material, individual material integrity, with positive traceability to the delivered product and applicable material test report must be maintained. For 3TG/conflict minerals, origin required with statement of compliance. Supplier shall provide a C of C stating product has not come in contact with or exposed to Mercury or RoHS in any form.

QC5.1 Supplied by Whalley Precision - Strict accountability of material furnished by Whalley Precision for use of this order shall be maintained by the supplier. Supplier shall certify that:

(a) all product(s) delivered on this order was manufactured from material furnished by Whalley Precision,

(b) From the material heat or lot number identified on the material or Whalley Precision shipper; and

(c) No material substitution was made.

QC5.2 Supplier furnished (Mill cert required, must include chemical and physical analysis). NOTE; if more than one heat lot is supplied per part number, parts **Must Be Directly Traceable To Applicable Heat Lots.**

QC6 Packing Slip required with each shipment: Applicable to all PO's.

As a minimum, packing slip must contain the following: part number, revision, part name, quantity & serial/lot number if applicable.

QC7 Special Process Certification and Sources:

One copy of the special process certifications is required (Heat Treating, Passivate, Plating, Welding, etc.) with each shipment. For 3TG/conflict minerals, origin required with statement of compliance, i.e. gold plating.

QC8 Certificate of Compliance: Applicable to all PO's with certified/traceable product.

Supplier shall furnish a certification with each shipment that the product or service complies with all purchase order requirements, drawing & specifications. The certification shall identify as a minimum, part number, including revision, purchase order number, serial / lot number (if applicable), quantity, supplier name & address, signature & title of the responsible member of supplier organization. Material and Process certifications as required

QC9 Packing, Preservation & Shipping: Applicable to all PO's.

Supplier shall assure that all items are adequately protected from damage, loss, deterioration, degradation, or substitution. Each container must be properly marked or labeled to maintain content identity, its origin & destination.

QC10 Control Non-Conforming Material or Products: Applicable to all PO's.

Supplier systems must make provisions for prompt identification, documentation, & submittal to buyer for disposition of any non-conforming product found during manufacturing or inspection operations, and a system to implement effective corrective action to prevent recurrence. In those instances where it is indicated that suspected non-conforming products have shipped, the system shall provide for prompt buyer notification. Nonconforming products must be segregated (pending, disposition, i.e., scrap, rework, etc.) to prevent return to production or shipment.

Requirements regarding the need for the supplier to notify WPI of nonconforming product:

- obtain WPI approval for nonconforming product and/or process definition, changes of suppliers, change of
- manufacturing facility location and, where required, obtain WPI approval, and
- flow down to the supply chain the applicable requirements including customer requirements

General Notes

- a) The identification and revision status of specifications, drawings, process requirements, inspection/verification instructions and other relevant technical data.
- b) Material and Process certifications required, unless otherwise stated.
- c) DPAS, DFARS, and ITAR flow-downs regulations shall be flowed down when required
- d) WPI and its customers reserve the right to entry into your facility at any time during the performance of contract / purchase order to perform inspections / audits related to said contract / purchase order.

(01) e) All documentation is considered proprietary with WPI. This means that products have been specifically classified by Whalley Precision as strategic and handled as Export Administration Regulations information, **do NOT export.**